

**BIRCH, STEWART, KOLASCH & BIRCH, LLP**

TERRELL C. BIRCH  
RAYMOND C. STEWART  
JOSEPH A. KOLASCH  
JAMES M. SLATTERY  
BERNARD L. SWEENEY\*  
MICHAEL K. MUTTER  
CHARLES GORENSTEIN  
GERALD M. MURPHY, JR.  
LEONARD R. SVENSSON  
ANDREW D. MEIKLE  
MARC S. WEINER  
JOE MCKINNEY MUNCY  
ROBERT J. KENNEY  
JOHN W. BAILEY  
MARK J. NUELLE, PH D  
JAMES T. ELLER, JR  
D. RICHARD ANDERSON

INTELLECTUAL PROPERTY LAW  
3110 GATEHOUSE ROAD  
SUITE 500 EAST  
FALLS CHURCH, VA 22042-1210  
USA

(703) 205-8000

FAX (703) 205-8050  
(703) 698-8590 (G IV)

e-mail: [mailroom@bskb.com](mailto:mailroom@bskb.com)  
web: <http://www.bskb.com>

CALIFORNIA OFFICE  
COSTA MESA, CALIFORNIA

SCOTT L. LOWE  
PAUL C. LEWIS  
RICHARD J. GALLAGHER  
JAYNE M. SAYDAH\*  
MARYANNE ARMSTRONG, PH.D.  
KECIA J. REYNOLDS  
ROBERT L. SCOTT, II  
KALPANA REDDY  
MARK E. OLDS  
ESTHER H. CHONG  
CATHERINE M. VOISINET\*  
EUGENE T. PEREZ

REG. PATENT AGENTS  
FREDERICK R. HANDREN  
MAKI HATSUMI  
CRAIG A. McROBBIE  
GARTH M. DAHLEN, PH.D.  
ROBERT E. GOOZNER, PH.D.  
KRISTI L. RUPERT, PH.D.  
MATTHEW T. SHANLEY  
SUSAN W. GORMAN, PH.D.  
DAVID J. CHO  
ROBERT W. DOWNS

OF COUNSEL:  
HERBERT M. BIRCH (1905-1996)  
ELLIOT A. GOLDBERG\*  
WILLIAM L. GATES\*  
EDWARD H. VALANCE  
RUPERT J. BRADY (RET)\*  
PRINCE BUTLER  
FRED S. WHISENHUNT  
JAMES W. HELLWEGE

ADMITTED TO A BAR OTHER THAN VA

12/21/01  
10/024307  
U.S. PTO

Date: December 21, 2001

Docket No.: 2185-0599P-SP

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

As authorized by the inventor(s), transmitted herewith for filing is a patent application applied for on behalf of the inventor(s) according to the provisions of 37 CFR 1.41(c).

Inventor(s): TAKASHIMA, Masayuki  
UEDA, Kazumasa

For: POLISHING PAD, POLISHING APPARATUS AND POLISHING METHOD  
USING THE SAME

Enclosed are:

- X   A specification consisting of   24   pages  
       sheet(s) of                      drawings  
       Certified copy of Priority Document(s)  
  X   Executed Declaration in accordance with 37 CFR 1.64 will follow  
       Applicant claims small entity status in accordance with 37 CFR 1.27  
  X   Preliminary Amendment

- X Information Sheet
- Information Disclosure Statement, PTO-1449 with reference(s)
- Application Data Sheet in accordance with 37 CFR 1.76
- Other \_\_\_\_\_
- Applicant requests early publication

The filing fee has been calculated as shown below:

LARGE ENTITY				SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE FEE		RATE FEE
BASIC FEE	*****	*****	*****		****
	*****	*****	***** \$740.00	or	**** \$370.00
	*****	*****	*****		****
TOTAL CLAIMS	18 - 20 =	0	x18 =\$ 0.00	or	x 9 = \$ 0.00
INDEPENDENT	2 - 3 =	0	x84 =\$ 0.00	or	x 42 = \$ 0.00
MULTIPLE DEPENDENT CLAIM PRESENTED <u>yes</u>			+280 = \$280.00	or	+140 = \$ 0.00
TOTAL \$1,020.00				TOTAL \$ 0.00	

- X The application transmitted herewith is filed in accordance with 37 CFR 1.41(c). The undersigned has been authorized by the inventor(s) to file the present application. The original duly executed patent application together with the surcharge will be forwarded in due course.
- X A check in the amount of \$1,020.00 to cover the filing fee and recording fee (if applicable) is enclosed.
- The Government Filing Fee will be paid at the time of completion of the filing requirement.
- Please charge Deposit Account No. 02-2448 in the amount of \$\_\_\_\_\_. A triplicate copy of this transmittal form is enclosed.

Send Correspondence to:

BIRCH, STEWART, KOLASCH & BIRCH, LLP or Customer No. 2292  
P. O. Box 747  
Falls Church, Virginia 22040-0747  
Telephone: (703) 205-8000

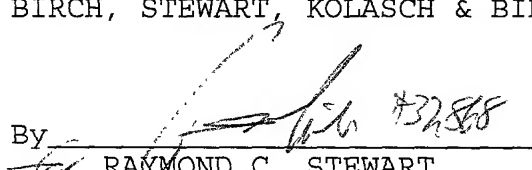
— No fee is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. 1.16 or under 37 C.F.R. 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By

 #3288  
RAYMOND C. STEWART

Reg. No. 21,066

P. O. Box 747

Falls Church, Virginia 22040-0747

(703) 205-8000  
RCS/sll

FOI b7 d 0842001